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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Sherman, William F.  
 Application No.: 09/904,256 ✓ Group No.: 2641  
 Filed: July 11, 2001 Examiner: Not yet determined  
 For: VOICE RECOGNITION PERIPHERAL DEVICE

Box Missing Parts  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS  
 -- NONPROVISIONAL APPLICATION**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 24, 2001.

A copy of the Notice of Incomplete Reply--Filing Date Granted (Form PTO-1533) is enclosed, dated November 28, 2001.

**DECLARATION OR OATH**

II. The original declaration or oath was filed on October 10, 2001 in response to the Notice to File Missing Parts of Application.

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 12/4/01

**FACSIMILE**

transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_\_.  
  
 Signature

**SMALL ENTITY STATUS**

**III.** A statement that this filing is by a small entity was filed on July 11, 2001 (original).

**EXTENSION OF TIME**

**IV.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(2), for two months.

|     |          |
|-----|----------|
| Fee | \$200.00 |
|-----|----------|

If an additional extension of time is required, please consider this a petition therefor.

**TOTAL FEE DUE**

**V.** The total fee due is:

|                        |              |
|------------------------|--------------|
| Completion fees        | \$0.00       |
| Extension fee (if any) | \$200.00     |
| <br>Total Fee Due      | <br>\$200.00 |

**PAYMENT OF FEES**

**VI.** Charge Account No. 500341 in the amount of \$200.00.

A duplicate of this request is attached.

Please charge Account No. 500341 for any fees that may be due by this paper.

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 500341.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))
- 37 C.F.R. Section 1.17 (application processing fees)

Date: 4 Dec 2001



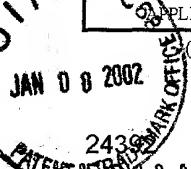
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/904,256         | 07/11/2001          | William F Sherman     | 685 01-US1             |



242  
PATENT & TRADEMARK OFFICE  
1440 N. HARBOR BLVD.  
SUITE 706  
FULLERTON, CA 92835

CONFIRMATION NO. 2046

FORMALITIES LETTER



\*OC000000007127765\*

Date Mailed: 11/28/2001

**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

***Filing Date Granted***

The U.S. Patent and Trademark Office has received your reply on 11/19/2001 to the Notice to File Missing Parts (Notice) mailed 08/24/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE